

Events

PARTNERS PANEL FUTURES INDUSTRY ASSOCIATION TRANSATLANTIC VIEW OF REGULATORY ENFORCEMENT WEBINAR

Jul 18, 2023

Partners [Renato Mariotti](#) and [David Rundle](#) spoke at a Futures Industry Association (FIA) webinar on July 20. Hosted by Deputy General Counsel of FIA, Michael Sorrell, the discussion highlighted current enforcement trends in cases brought by futures and derivatives regulators in the US and UK.

Topics included an analysis of the related risks to firms, how trends in one jurisdiction can help firms prepare for enforcement in other jurisdictions, and how best firms can ensure and demonstrate compliance in those areas of regulatory priority.

Renato Mariotti is an accomplished trial attorney who focuses on many types of complex high-stakes litigation, including private equity and hedge fund litigation, derivative-related claims and cyber theft. Renato's work includes defending firms and individuals in enforcement actions and conducting internal investigations, advising and advocating for clients in the digital asset and crypto space, and helping trading firms develop internal compliance programs to ensure that traders do not engage in disruptive trading practices.

David Rundle focuses his practice on Financial Services Regulatory Investigations and White Collar litigation. David represents individuals and companies in regulatory and criminal investigations, with a particular focus on the financial services sector. He has extensive experience in cross-border investigations, navigating the processes of different agencies across multiple jurisdictions, and in representing senior banking executives.

RELATED PRACTICE AREAS

- White Collar

MEET THE TEAM



Renato Mariotti

Chicago

renato.mariotti@bclplaw.com

[+1 312 602 5037](tel:+13126025037)




David Rundle

London

david.rundle@bclplaw.com

[+44 \(0\) 20 3400 4027](tel:+442034004027)

This material is not comprehensive, is for informational purposes only, and is not legal advice. Your use or receipt of this material does not create an attorney-client relationship between us. If you require legal advice, you should consult an attorney regarding your particular circumstances. The choice of a lawyer is an important decision and should not be based solely upon advertisements. This material may be “Attorney Advertising” under the ethics and professional rules of certain jurisdictions. For advertising purposes, St. Louis, Missouri, is designated BCLP’s principal office and Kathrine Dixon (kathrine.dixon@bclplaw.com) as the responsible attorney.

 Cookiebot session tracker icon loaded