

# Assessing Slavery and Human Trafficking Risks

Questionnaire to assist in identifying salient areas of Modern Slavery and Human Trafficking risk

## ORGANISATIONAL STRUCTURE AND GOVERNANCE

1. Does your organisation have corporate entities incorporated/registered in a jurisdiction below? The following jurisdictions have, or may soon have, reporting and/or due diligence requirements relating to modern slavery and/or broader human rights risks.
  - Australia
  - California
  - Canada
  - France
  - Netherlands
  - New Zealand
  - United Kingdom
  - Other European Union/EEA countries
2. Does your organisation or any group entity operate and/or engage in business in the following jurisdictions?
  - Those listed in Question 1 - Providing goods or services in those jurisdictions may mean that the reporting requirement extends to your organisation despite incorporation/registration elsewhere.
  - Those listed as high risk on the [Global Slavery Index](#) – high risk jurisdiction are likely to present legal and/or reputational risks. Operations in such jurisdictions should be considered to ensure suitable policies, procedures and controls are in place to mitigate the risk. These particular operations may also need identifying in disclosure statement in order to comply with reporting requirements.
3. Does your organisation:
  - have a group-level board or corporate governance structure; and/or
  - report on a world-wide or consolidated basis in respect of your turnover?

The above factors may impact the scope of any reporting obligations and/or the existence of any duty of care or stakeholder expectation.

## INDUSTRY AND JURISDICTION RISKS

4. Do you operate in, finance, invest in or manage/own assets related in the below industries/sectors?
  - Agricultural: palm oil, soy, cotton, sugar, cocoa, fish, tobacco leaf or other food or farming
  - Energy and natural resources
  - Infrastructure and construction
  - Manufacturing - especially operation of sites or plants
  - Logistics and transport
  - Mega events including sport and leisure
  - Hotels and leisure
  - Textile or garment production
  - Other labour or cash intensive businesses e.g. nail bars, car washes etc.

The nature of the above industries/sectors means vulnerabilities to slavery and human rights risks do exist and should be assessed appropriately.

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5. Does your organisation have a complex or multi-layered supply or value chain? In particular, to the following apply:

- Operating in high risk jurisdictions as identified by the [Global Slavery Index](#)
- Likely to involve low-skilled, itinerant or casual workers
- Less likely to be accountable to professional or regulatory standards
- Utilising third party recruitment services

If so, these are risks to your organisation that should be considered.

### **ALIGNING BUSINESS IMPACT AND STAKEHOLDER REPORTING AND EXPECTATIONS**

6. Do key stakeholders (customers, business partners, investors, trading exchanges, employees or local communities) require your organisation to address modern slavery, human trafficking or human rights risks for:

- RFP, tender or contracting processes to access, maintain or renew business relationships, financing or investment?
- Access to public procurement or multi-lateral agency financing/sponsorship?
- Listing Exchange or Customs requirements?

7. Does your organisation publish corporate responsibility, human rights and/or sustainability commitments or a report?

8. Is your organisation or industry subject to public benchmarking or publish industry-wide standards on responsible business conduct, respecting human rights and/or modern slavery?

9. Do you monitor press and engage with peers, industry organisations, unions and/or civil society about modern slavery or human rights risks in your organisation's sector and/or operating and supply jurisdictions?

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Please note that this is not legal advice as specific legal advice should always be sought in relation to the particular facts of a given situation. Please do contact us to discuss your responses to the above self-assessment and for advice on supply chain and modern slavery/human rights risk trends.