

# FCA Investigations and Enforcement

*A One Day Virtual Summit on successfully dealing with regulatory action*

18th May 2021

**\*\*\* BRYAN CAVE LEIGHTON PAISNER 30% DISCOUNT AVAILABLE \*\*\***

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This summit will provide much needed guidance and clarity on how organisations can reduce the likelihood of facing regulatory action and mitigate the impact of it on their company and senior managers.

**Nathan Willmott**, Partner, BCLP will be speaking on the 'Dealing with international and cross-border regulatory investigations' panel.

#### **Key areas to be covered include:**

- The FCA's enforcement priorities for 2021 and beyond
- The impact of the Covid-19 pandemic on firms' obligations to ensure appropriate systems and controls are maintained
- Conducting internal investigations: what are the risks to the organisation and the individual?
- Handling the investigation process: understanding the FCA's remit, scope of investigation and powers
- Dealing with international and cross-border regulatory investigations
- Managing specific exposure to enforcement action in relation to money-laundering, SMCR, cyber-security and operational resilience

#### **Other speakers include:**

- **Mark Steward**, Executive Director of Enforcement and Market Oversight, Financial Conduct Authority
- **Damien Bisseker**, Managing Director, Head of Litigation EMEA, Credit Suisse
- **Fleur Eysenck**, Legal Counsel, Litigation, Investigations & Enforcement, Barclays
- **Hywel Jenkins**, Partner, Herbert Smith Freehills
- **Jake McQuitty**, Partner, Eversheds Sutherland LLP
- **Chris Salmon**, Global Chief Control Officer for Markets, HSBC
- **Angela Hayes**, Partner, TLT
- **Melanie Ryan**, Partner, Morgan Lewis & Bockius

Standard Delegate Fee: £495 + VAT (use code **ENF10BCLP** for a 30% discount off this fee)

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