



## **HOLLY CAMPBELL**

**Associate**

**Chicago**

E: [holly.campbell@bclplaw.com](mailto:holly.campbell@bclplaw.com)

T: [+1 312 602 5154](tel:+13126025154)

## **BIOGRAPHY**

Holly is an experienced litigator who has represented clients in a variety of high-stakes litigation and enforcement matters. Holly is a member of the firm's Financial Services Disputes and Investigations Practice and has experience advising banks, trading firms, broker-dealers, and other financial services clients in complex commercial litigation and defending firms and individual employees in enforcement actions.

Holly's work includes representing clients in complex regulatory matters involving the SEC, CFTC, FTC, FINRA, CME, and state regulatory authorities. Her practice covers a diverse group of clients facing many types of financial-related governmental inquiries, including accounting irregularities, whistleblower allegations, and internal controls. Holly helps clients conduct internal investigations into allegations brought by government agencies and whistleblowers and helps financial services clients, including trading firms, develop internal compliance programs.

Holly has been a member of multiple trial teams and handles a variety of litigation matters including cybersecurity litigation, class actions, and business disputes.

## ADMISSIONS

- Indiana, 2016
- Illinois, 2015

## EDUCATION

- Northwestern University, J.D., cum laude, 2015
- University of California-Berkeley, B.A., 2011

## RELATED PRACTICE AREAS

- Commodities, Futures & Derivatives
- Consumer Finance Disputes
- Broker-Dealer and Investment Advisor Regulatory Enforcement, Disputes and Investigations
- Litigation & Dispute Resolution
- Enforcement
- Litigation
- Regulation

## EXPERIENCE

- Holly was a key member of the trial team in *United States v. Jitesh Thakkar*, the first-ever criminal case charging a non-trader with a form of market manipulation. Holly and the team secured a judgment of acquittal on a conspiracy count in the case, and 10 out of 12 jurors voted for acquittal on the remaining counts. The Justice Department dismissed the remaining counts shortly thereafter.

## RESOURCES

## PUBLICATIONS

“U.S. Government Takes Tough Tactics in Pursuit of Spoofers,” John Lothian News Special Report, Co-author, April 1, 2020.

## RELATED INSIGHTS

Insights

May 09, 2024

**CFTC’s updated large trader report rule leaves important questions unanswered**

Events

Apr 24-26, 2024

**Three BCLP attorneys to be featured at upcoming Futures Industry Association Conference**

Awards

Aug 17, 2023

**The Best Lawyers in America® 2024**

Insights

May 04, 2023

**A Time of Transition for the CFTC**

Over the past year, the Commodity Futures Trading Commission continued moving its focus away from practices like spoofing, instead bringing high-profile actions in the crypto space and reaching significant settlements with some of the nation’s largest financial institutions relating to their use of unauthorized communication methods. Given the CFTC’s newfound focus on unauthorized methods of communication—a focus shared by the Securities and Exchange Commission—market participants should immediately work to revamp their policies, procedures, and practices before regulators turn their gaze upon you.