

Events

PARTNER SPEAKS AT CITY & FINANCIAL FCA INVESTIGATIONS AND ENFORCEMENT SUMMIT

Jun 01, 2023

Partner David Rundle spoke at the City & Financial FCA Investigations and Enforcement Summit, hosted in London. David's presentation, "International regulatory co-operation: Dealing with cross-border regulatory investigations" focused on the complex issues around cross-border regulatory investigations, including international regulatory cooperation.

The FCA Investigations and Enforcement Summit is a highly regarded annual event addressing the latest developments in the FCA's enforcement agenda. This year's event covers a number of new issues which have an impact on the risk of an investigation or enforcement action. These include the rise in online scams, cyber frauds and crypto risks including the risk to consumers and the risk of crypto being used for money-laundering, evading sanctions or other illicit purposes. With the cost of living crisis placing pressure on consumers, the focus will also be on consumer conduct and recent enforcement action in relation to customer communications and vulnerable customers. At a wholesale level it will also cover some of the recent market abuse cases and related risks. The Summit also provides guidance on managing the practicalities of an investigation including dealing with internal investigations, the scope and remit of the FCA's powers, preserving and analyzing evidence and dealing with the international dimension in cross-border investigations.

RELATED CAPABILITIES

- Finance
- Investigations
- Financial Regulation Compliance & Investigations

MEET THE TEAM



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