

## News

## NEW PARTNER BOOSTS BCLP'S FINANCIAL SERVICES & DISPUTES PRACTICE

Oct 04, 2023

International law firm BCLP announced today the addition of Partner Katherine Cooper to the firm's Financial Services & Disputes practice. Katherine joins the firm's New York office.

Katherine is Chambers Band 1 rated on a national level in derivatives/futures enforcement and her practice is focused on the sale and trading of commodities, derivatives, securities, and digital assets. She also represents clients in related regulatory and grand jury investigations, enforcement actions, and criminal prosecutions. For more than 20 years, Katherine served in leadership roles at the Commodity Futures Trading Commission, swap dealers, and a financial derivatives exchange. At the CFTC, she rose to become a Senior Trial Attorney within the Division of Enforcement where she oversaw the investigation and prosecution of enforcement actions involving frauds and other wrongdoing by brokerage houses, commodity trading advisers, commodity pool operators, foreign exchange boiler rooms and exchange floor members. Some of these matters led her to conduct collaborative investigations with the FBI and other governmental agencies. Subsequently, she served as the Chief Regulatory Officer of NYSE Liffe US, a CFTC-registered futures exchange. Drawing on that background, she offers market participants trusted guidance in navigating the often-confusing legal and regulatory landscape for financial services. She provides clients not only knowledge about applicable law, but also an understanding of the interplay between market participants trading derivatives and financial products.

Katherine has also worked in senior compliance roles leading teams covering the public sales and trading of fixed income, currencies and commodities assets, as well as equity execution, equity derivatives, preferred securities, and structured products businesses.

"Katherine is a great addition to our team," said Partner and Global Practice Co-Leader - Financial Services Disputes and Investigations, Eric Martin. "Katherine's exceptional legal experience along with her regulatory background make her an important resource for our colleagues and clients alike and we look forward to working with her." Katherine joins from Davis Wright Tremaine LLP.

## RELATED CAPABILITIES

- Financial Regulation Compliance & Investigations
- Financial Services

## **MEET THE TEAM**



**Katherine Cooper** 

New York
<a href="mailto:katherine.cooper@bclplaw.com">katherine.cooper@bclplaw.com</a>
+1 212 541 1141

This material is not comprehensive, is for informational purposes only, and is not legal advice. Your use or receipt of this material does not create an attorney-client relationship between us. If you require legal advice, you should consult an attorney regarding your particular circumstances. The choice of a lawyer is an important decision and should not be based solely upon advertisements. This material may be "Attorney Advertising" under the ethics and professional rules of certain jurisdictions. For advertising purposes, St. Louis, Missouri, is designated BCLP's principal office and Kathrine Dixon (kathrine.dixon@bclplaw.com) as the responsible attorney.