



## KATRINA A. HAUSFELD

Partner

Chicago

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## BIOGRAPHY

Katie Hausfeld is a seasoned counselor to boards, audit committees, and senior management, focusing her practice on corporate investigations, compliance and governance matters, white-collar defense, and complex civil litigation. From global investigations to enterprise-wide compliance programs, she helps organizations anticipate risk, respond decisively, and emerge from crisis stronger. She advises U.S. and multinational corporations on internal investigations, regulatory compliance, and white-collar matters, and represents clients in complex commercial litigation. Katie is regularly engaged to navigate sensitive, multi-jurisdictional investigations involving the U.S. Department of Justice, the Securities and Exchange Commission, the World Bank and other global enforcement authorities. Her work spans allegations of economic crime (including bribery and money laundering), insider trading, bid rigging, conflicts of interest, business email compromise, executive misconduct, and sexual discrimination, often under intense regulatory

scrutiny and public interest. She also counsels her clients in relation to matters involving asset tracing and recovery.

Katie is also a trusted business partner, advising her clients on compliance strategy, as well as the design, implementation and testing of risk-based, enterprise-wide compliance programs aligned with the ever-evolving expectations of global regulatory and enforcement agencies. She leads risk assessments, drafts and operationalizes codes of conduct and key policies, builds whistleblower and reporting frameworks, and develops tailored training designed to embed accountability and a culture of compliance. She also conducts anti-corruption and anti-money-laundering diligence for mergers, acquisitions, joint ventures and other strategic transactions, translating regulatory risk into practical controls that support business objectives. Katie is also a Certified Anti-Money Laundering Specialist (CAMS).

As part of her commercial litigation practice, Katie has represented clients in a variety of complex commercial litigation disputes in both federal and state courts and before the American Arbitration Association.

Katie's pro bono work is diverse, spanning immigration, prisoners' rights and international human rights. She has supported the Georgian delegation at the UNFCCC Conference of the Parties (COP26), negotiating on behalf of Georgia, and has delivered trial advocacy training for police prosecutors across the Caribbean and in Guyana in partnership with the National Center for State Courts. She was also part of the team that successfully challenged the separation of two minor asylum seekers from their parents, securing reunification, receiving recognition from the U.S. District Court of the Northern District of Illinois for her work.

Before entering private practice, Katie served in the Offices of Global Communications and Correspondence at The White House and at a foreign policy think tank focused on U.S.–Russia relation.

## CIVIC INVOLVEMENT & HONORS

- **Chambers USA** – Band 3, Illinois Litigation: White-Collar Crime & Government Investigations (2022–2025)
- **Who's Who Legal** – Future Leader in Investigations (2022)
- **Crain's Chicago Business** – Notable Women in Law (2020, 2022)
- **Award for Excellence in Pro Bono Service**, U.S. District Court for the Northern District of Illinois & Federal Bar Association (Chicago Chapter) (2019)

## PROFESSIONAL AFFILIATIONS

- Women in White Collar Defense Association
- Chicago Inn of Court
- American Bar Association
- Chicago Bar Association

## ADMISSIONS

- Illinois, 2008

## EDUCATION

- University of Illinois, J.D., 2008
- Boston University, B.A., 2002

## EXPERIENCE

- Advising a security services company on the integration of its global compliance program in connection with a transformational acquisition.
- Conducting a detailed assessment of a Fortune 500 manufacturing and fuel company's compliance program and advising on the re-design and implementation of its updated global compliance program.
- Conducting a post-acquisition enterprise-wide risk assessment for a global services provider.
- Designing and conducting anticorruption risk assessments for a U.S.-based services provider across its U.S., U.K. and Latin American operations.
- Advising a global information technology solutions company on the development and build-out of a risk-based compliance program.
- Advising a company immediately prior to its initial public offering regarding development and implementation of its code of conduct, key compliance policies and third-party diligence capability build-out.

- Assisting a global manufacturer with an internal investigation regarding improper sales practices in Asia.
- Representing a financial services company in an investigation conducted by the SEC regarding a fraud committed by a client.
- Conducting an investigation of whistleblower allegations of theft and corruption involving the Latin American operations of a U.S.-based industrial products company.
- Representing a healthcare company executive in an investigation conducted by the DOJ and SEC regarding FCPA violations in Africa.
- Assisting a global manufacturer with an internal investigation regarding conflict of interest issues.
- Conducting an investigation into allegations of fraud, corruption, theft, money laundering and environmental issues for a U.S.-based global services company relating to its European operations.
- Representing an industrials company in connection with a World Bank investigation into allegations of fraud and bribery associated with World Bank-financed projects in Africa.
- Representing the audit committee of a pharmaceutical company in an investigation conducted by the SEC into financial reporting, books and records, and internal controls violations.
- Assisting a Fortune 500 healthcare company with an internal investigation into whistleblower allegations of sales manipulation, channel stuffing, and other potential misconduct in Latin America.
- Representing a global industrials company in an investigation conducted by the SEC into allegations of insider trading, financial reporting, books and records, and internal controls violations.
- Conducting an investigation into allegations of theft, financial control failures and accounting deficiencies in the European operations of an energy distribution company.
- Assisting financial services companies in tracing and recovering fraudulently transferred assets across Europe, the Middle East and Asia.
- Representing major audit firms and individual auditors before the SEC, PCAOB and DOJ, in matters involving audit irregularities and alleged fraud at the audit client.

# RESOURCES

## PUBLICATIONS

- “**What EU and UK Corp. Corruption Reform Means for US Cos.**,” *Law360* (January 24, 2024)
- “**Real Estate Transactions Are FinCEN Targets: Far-Reaching Impact of Two Proposed Rules**,” *The Banking Law Journal*, Vol. 139 No. 5 (May 2022)
- “**Securities & Related Investigations: USA**,” *Global Investigations Review* (February 2021)
- “**Managing Third-Party Relationships in Light of the US Government’s Continuing Commitment to Investigate and Prosecute Foreign Corruption: The Best Defense is a Good Offense**,” *Nieuwsbrief Bedrijfsjuridische berichten* (December 29, 2017)
- “**Global Business Necessitates the Lens of Global Compliance**,” *For The Defense* (June 2015)
- “**Ethical Concerns and Best Practices in Cross-Border Internal Investigations**,” ABA Commercial & Business Litigation Committee (February 23, 2015)

## SPEAKING ENGAGEMENTS

- “**Whistleblowing laws of Europe**,” Lexology Webinar (December 17, 2021)
- “**Building a culture of compliance**,” DLA Piper Podcast (February 24, 2021)