



MATTHEW BAKER

Partner
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BIOGRAPHY

Matt advises a wide range of fund managers, banks, insurers and other financial institutions on the regulatory and commercial issues that arise within their businesses.

He assists clients with matters relating to: establishing and structuring new regulated businesses in the UK; the interpretation and implementation of UK and EU rules and regulatory requirements; custody and asset servicing; compliance; and funds and fund management.

Matt is a member of the City of London Law Society's Regulatory Law Committee, and also the Financial Markets and Law Committee's Asset Management Scoping Forum. Matt regularly speaks and writes on regulatory change, including on ESG/sustainable finance regulation, AIFMD and MiFID II.

AREAS OF FOCUS

- Logistics & Industrial

ADMISSIONS

- England and Wales

RELATED PRACTICE AREAS

- Private Equity
- Private Investment Funds
- Payment Systems
- Fintech
- Finance
- Tax & Private Client
- Corporate
- Logistics & Industrial
- Investigations
- Regulation, Compliance & Advisory
- Corporate Real Estate and Funds
- Brexit
- Crypto and Digital Assets
- Israel Practice

EXPERIENCE

- Advised various institutional fund managers on the implementation and ongoing compliance with AIFMD, including advising on some major restructures of the funds and managers to allow implementation of AIFMD;
- Assisted £20bn+ institutional investor in designing a compliance function and undertaking a regulatory gap-analysis across its business;
- Advised an **international fund manager** on a major internal investigation into its compliance function and obligations under legislation including AIFMD, UCITS and MiFID II following

receipt of whistle-blower allegations;

- **Brexit planning**, including having advised a European bank in designing its Brexit contingency plan for PRA submission and various European banks and brokers on their plans for exiting the UK Temporary Permission Regime; and
- Advised **investment managers** and funds on their implementation of ESG and green finance initiatives, including on the application of the Sustainable Finance Disclosure Regulation to a new fund project.

RELATED INSIGHTS

Events

Thursday, 23 May 2024

Banking with BCLP Webinar: Greenwashing in Finance

News

Apr 15, 2024

BCLP advises Investec Bank plc on its inaugural real estate fund and underlying joint venture

Insights

Jan 18, 2024

What are the risks for firms that won't show greenwashing the red card?

Insights

Sep 07, 2023

Financial promotions gateway goes live – are you caught?

The much-promised financial promotions gateway has now become law. The UK financial promotions regime is undergoing a large amount of change at the moment, which we discussed previously.

Awards

Apr 04, 2023

IFLR1000

Insights

Jan 19, 2023

Financial promotions – time to take stock?

Insights

Jan 19, 2023

Will the introduction of the PIF bring alternative fund formations back onshore in the UK?

Blog Post

Nov 10, 2022

Banking Bites – November 10 2022

Welcome to Banking Bites! This is our short summary flagging some key developments in your sector that we hope will inform your activities in your market. This edition covers: Future regulatory framework for cryptoasset activities NY DFS Fines Bank for third party loan pricing discretion, disparate impact New UK rules proposed to tackle greenwashing Regulator scrutinises the competition impacts of Big Tech in retail financial services sectors Revised Wolfsberg Financial Crime Principles for correspondent banking UK Parliamentary Committee calls for new failure to prevent fraud offence Further changes to sanctions landscape UK's Commercial Court hands down judgments in COVID-19 business interruption cases

Blog Post

Oct 21, 2022

Banking Bites – October 21 2022

Welcome to Banking Bites! This is our short summary flagging some key developments in your sector that we hope will inform your activities in your market. This edition covers: US FDIC Chair focuses on risk based mitigation to climate risks UK financial services regulator updates its rulebook Will UK mandate reimbursements for APP scams? Amended guidance to reflect UK's 2022 Money Laundering Regulations UK's Economic Crime Bill 2.0 enters legislative process The EU releases its eighth package of sanctions against Russia UK plans further response to Russian activities Bank of England to change stress testing to the UK banking system FCA outlines observations regarding transaction reporting and instrument reference data regimes New York Supreme Court issues decision that newly enacted provision of CPLR 5004 does not apply to foreclosure judgments