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BIOGRAPHY

Samantha is a skilled lawyer with extensive private practice and in-house experience of handling complex, multi-jurisdictional regulatory and criminal investigations, English and overseas litigation, and related advisory work.

Samantha brings this experience to her role as BCLP's Principal Knowledge Development Lawyer for the Financial Services Disputes and Investigations Practice Group and the White Collar team. Her role includes providing legal knowledge, training and thought leadership, as well as promoting particular capabilities to existing and potential clients. She also assists the teams with strategy, development and innovation initiatives.

Before joining BCLP, Samantha worked for a number of years in the Financial Services Litigation team at another international law firm, where her experience included acting on a long-running

multi-jurisdictional investigation in relation to LIBOR for a well-known bank.

Samantha then moved in-house, serving as Senior Counsel in the Litigation and Regulatory Enforcement team at an international investment bank. Her in-house experience includes taking a lead role in the handling of investigations into allegations of misconduct relating to foreign exchange benchmarks, transaction monitoring in connection with sanctions, and suspicious transactions raising anti-money laundering concerns.

She has experience across the full lifecycle of regulatory and criminal investigations by multiple regulators and prosecutors, from initial discharge of investigations through to outcome and full remediation. She also has experience handling follow-on and related employment and civil litigation in various jurisdictions.

ADMISSIONS

- England and Wales

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Applying the FCA's proposed new guidance on non-financial misconduct

Non-financial misconduct in financial services firms is back at the top of the agenda following the concurrent publication of the FCA's Consultation Paper 20/23 (Diversity and inclusion in the financial sector – working together to drive change) and the PRA's Consultation Paper 18/23 (Diversity and inclusion in PRA-regulated firms). The PRA and FCA appear to be agreed that (a) non-financial misconduct incidents are relevant when considering whether an individual has acted with integrity and that (b) for senior managers and certification staff, sometimes behaviour in their personal lives that is unconnected to their job will be relevant to their fitness and propriety to work in financial services. How are HR and Compliance professionals to respond to the new role of moral arbiter that these proposed guidelines point towards, and where will the practical and legal challenges arise?

Insights

Jul 28, 2023

FCA publishes its 2022/23 Annual Report and Enforcement data

The FCA released its 2022/23 Annual Report last week together with data on its key enforcement trends. We consider below some of the key takeaways.

News

May 22, 2023

BCLP delivers 2023 senior managers development program for the Association of Foreign Banks (AFB)

News

Dec 14, 2022

BCLP changes Knowledge Lawyers' titles firmwide to align with market standard

Insights

Jul 16, 2021

The FCA's new "game plan" – What do banks need to know about the FCA Business Plan for 2021/22?

Yesterday the FCA published its eagerly-awaited Business Plan for 2021/22 (the "Plan"), its publication having been delayed from April due to the pandemic and it being Nikhil Rathi's first business plan since he was appointed Chief Executive Officer of the FCA last October. And it has not failed to disappoint. The Plan launched with certain fanfare yesterday morning, accompanied by a high-energy and detailed speech from Rathi setting out a vision for a transformative and transforming FCA. A transformation that will allow the FCA to tackle head-on what Rathi references as the "profound forces [that] are reshaping financial services" and be poised to deal with future disruption, however that may materialise. In this blog we highlight some of the key priorities set-out in the Plan that will be particularly pertinent to our banking clients, before focusing on what we can expect from the promised "new" FCA under Rat...

Insights

Jul 08, 2021

UK regulators and Bank of England to pool considerable powers and resources to advance D&I

Yesterday's joint Discussion Paper (DP21/2) published by the Bank of England, Prudential Regulation Authority (PRA) and Financial Conduct Authority (FCA, together "the regulators") on "Diversity and inclusion in the financial sector – working together to drive change" signals a step change in the degree of regulatory focus upon diversity and inclusion in financial services in the UK. While this theme has featured increasingly in FCA and PRA speeches – most pointedly in the speech by Nikhil Rathi on 17 March 2021 entitled "Why diversity and inclusion are regulatory issues" – yesterday's DP points to a desire on the regulators' part to create new regulation and to use their "hard/soft" supervisory powers to drive D&I improvements via the existing regulatory framework. We welcome the regulators' joint efforts to clarify their expectations in relation to these critical topics and engage with all stakeholders on how ...

Events

Jul 01, 2021

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Insights

May 20, 2021

FCA proposes new Consumer Duty – TCF with bigger teeth?

On 14 May 2021, the FCA published Consultation Paper CP21/13 outlining much-anticipated proposals relating to the standards expected of regulated firms to ensure the protection of retail consumers. The Consultation Paper follows a recently published speech given by Charles Randell, Chair of the FCA and PSR, in which Mr. Randell

outlined changes required to transform regulation and reshape the FCA to better protect consumers. Indeed change in this area has been on the cards for quite some time. In January 2020, fellow BCLP partner Nathan Willmott predicted in our Emerging Themes in Financial Regulation 2020 publication that the FCA would bolster the Principle 6 duty to treat customers fairly and add a new Principle and high level obligations requiring firms to adopt fair pricing practices. Although these proposals were delayed by the COVID-19 pandemic, which the FCA recognise in their Consult...