

INVESTIGATIONS

OVERVIEW

Our Global Investigations practice is a truly integrated, cross-practice, global team that undertakes independent internal investigations and represents corporates, financial institutions and senior individuals in their dealings with regulators and civil and criminal authorities. In particular this includes advising and vigorously defending our clients who are subject to actual or threatened enforcement, disciplinary and criminal proceedings.

Many of our team have spent time working as regulators and prosecutors, bringing deep experience and understanding of their key priorities, personnel, and approaches to decision-making at the relevant authorities. In each case we work closely with our clients to develop a tailored defense strategy, designed to maximize the prospects of achieving the agreed objectives and securing the optimal outcome.

Our clients routinely praise our cross-practice approach to ensure that the risks they face in relation to a specific issue are properly anticipated and managed in the most effective manner. Increasingly, when problems are encountered they need to be assessed holistically to identify the key areas of risk which may include a series of different regulators in multiple jurisdictions. Our teams are equipped to anticipate those areas of risk and to work seamlessly across areas of focus and offices to deliver the best available solutions for our clients.

CLIENT REPRESENTATION

We routinely represent clients in relation to investigations and proceedings pursued by:

- the UK FCA (Financial Conduct Authority);
- PRA (Prudential Regulation Authority);
- SFO (Serious Fraud Office);
- HMRC (HM Revenue & Customs);
- CMA (Competition and Markets Authority);
- the US DOJ (Department of Justice);

- SEC (Securities And Exchange Commission);
- FTC (Federal Trade Commission);
- CFTC (Commodity Futures Trading Commission); and
- Fed (Federal Reserve Bank of New York).

As well as a range of other international regulators and prosecutors in relation to allegations of fraud, bribery and corruption, insider dealing, market abuse, loss of sensitive data, money laundering, breach of regulatory rules and listing rules, breach of financial or trade sanctions, cartel investigations, employment issues, a range of other types of misconduct.

The Global Investigations areas of focus include White Collar, Antitrust, Data Privacy, Financial Regulation, Securities Regulation, Utilities Regulation, Employment, Health & Safety, Tax and Product Liability. Our teams work together across the US, UK, France, Belgium, Germany, Abu Dhabi, Dubai, Singapore and Hong Kong SAR.

Investigations are challenging and the BCLP Global Investigations team understands the complexities and works closely with clients to design and implement tailored strategies and solutions to the significant issues that they face.

MEET THE TEAM



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RELATED CAPABILITIES

- Antitrust
- Business & Commercial Disputes
- Financial Regulation Compliance & Investigations
- Health & Safety

- Planning & Zoning
- Tax & Private Client
- Anti-Money Laundering Compliance
- Employment & Labor
- Special Investigations
- Public Policy & Government Affairs
- White Collar
- Government Contracts & Public Procurement

AREAS OF FOCUS

- False Claims Act
- Special Investigations
- White Collar

EXPERIENCE

- Retained by the Florida Board of Governors to lead an independent investigation into the University of Central Florida's improper use of restricted state funds for capital projects. The six-month investigation uncovered that UCF had misused nearly \$100 million in state funds on over a dozen capital projects at the university during a ten year period.
- Conducted internal investigation for major Division I university concerning athletic and sports medicine department compliance with regulations governing sports related concussions.
- Conducted internal investigation for University regarding alleged embezzlement by faculty member, including subsequent coordination with federal law enforcement officials.
- Represented the University of Missouri's Board of Curators in a crisis situation due to the high profile, sensitive nature of the issues involved, which included sensitive racial issues and faculty discipline. The Bryan Cave team conducted the investigation swiftly and efficiently, while affording the Professor due process, in a novel legal area, given that the Board of Curators had never in the entire history of the University issued faculty discipline. The Bryan Cave Report was released by the Board of Curators.
- Conducted internal investigation for university concerning whether there had been religious or political discrimination in connection with a forum sponsored by an academic department of the university.

REPRESENTATIVE CLIENTS

- Arizona State University
- ASU Foundation
- Case Western Reserve University
- Florida State University
- Graduate School of the Stowers Institute of Medical Research
- Greenville College
- Jewish Theological Seminary
- Judson College
- Kansas University of Medicine and Biosciences
- KU Innovation and Collaboration-University of Kansas
- Mercer College
- Moody Bible Institute
- Mount Sinai Hospital Medical Center
- New York University
- Northeastern Illinois University
- Northwestern Memorial Hospital
- Park University
- Pennsylvania State University
- Ranken Technical College
- St. Louis University
- UCLA Investment Company
- University of Colorado
- University of Colorado Foundation

- University of Denver
- University of Missouri
- University of South Florida
- University of Tennessee
- Washington University
- Wheaton College
- Yale University

RELATED INSIGHTS

News

Apr 14, 2025

Former Federal Prosecutor Joins BCLP's White Collar Defense & Investigations Practice

News

Feb 28, 2025

BCLP's David B. Schwartz Featured on Our Curious Amalgam Podcast to Discuss Healthcare Information Blocking

News

Feb 24, 2025

BCLP Lawyers Examine Diverging U.S. and EU Approaches to Sustainability Agreements in Competition Policy International

News

Feb 24, 2025

BCLP Partner and Former FTC Attorney Comments on FTC Chair Andrew Ferguson's ABA Ban

News

Feb 12, 2025

BCLP Partner Quoted in Bloomberg on FTC's Litigation Challenges

News

Jan 21, 2025

BCLP Partner Charlie Weiss Quoted in St. Louis Post-Dispatch on Kezer Exoneration Coverage

News

Jan 15, 2025

BCLP Partner David B. Schwartz Comments in Law360 on Federal Circuit's Orange Book Ruling

Insights

Jan 15, 2025

State of Tennessee v. Cardona: Implications of the Vacatur of the 2024 Title IX Regulations

News

Dec 16, 2024

BCLP and Midwest Innocence Project Secure Justice for Dominic Moore After 15 Years of Wrongful Incarceration