

## INVESTIGATIONS

### OVERVIEW

Our Global Investigations practice is a truly integrated, cross-practice, global team that undertakes independent internal investigations and represents corporates, financial institutions and senior individuals in their dealings with regulators and civil and criminal authorities. In particular this includes advising and vigorously defending our clients who are subject to actual or threatened enforcement, disciplinary and criminal proceedings.

Many of our team have spent time working as regulators and prosecutors, bringing deep experience and understanding of their key priorities, personnel, and approaches to decision-making at the relevant authorities. In each case we work closely with our clients to develop a tailored defense strategy, designed to maximize the prospects of achieving the agreed objectives and securing the optimal outcome.

Our clients routinely praise our cross-practice approach to ensure that the risks they face in relation to a specific issue are properly anticipated and managed in the most effective manner. Increasingly, when problems are encountered they need to be assessed holistically to identify the key areas of risk which may include a series of different regulators in multiple jurisdictions. Our teams are equipped to anticipate those areas of risk and to work seamlessly across areas of focus and offices to deliver the best available solutions for our clients.

### CLIENT REPRESENTATION

We routinely represent clients in relation to investigations and proceedings pursued by:

- the UK FCA (Financial Conduct Authority);
- PRA (Prudential Regulation Authority);
- SFO (Serious Fraud Office);
- HMRC (HM Revenue & Customs);
- CMA (Competition and Markets Authority);
- the US DOJ (Department of Justice);

- SEC (Securities And Exchange Commission);
- FTC (Federal Trade Commission);
- CFTC (Commodity Futures Trading Commission); and
- Fed (Federal Reserve Bank of New York).

As well as a range of other international regulators and prosecutors in relation to allegations of fraud, bribery and corruption, insider dealing, market abuse, loss of sensitive data, money laundering, breach of regulatory rules and listing rules, breach of financial or trade sanctions, cartel investigations, employment issues, a range of other types of misconduct.

The Global Investigations areas of focus include White Collar, Antitrust, Data Privacy, Financial Regulation, Securities Regulation, Utilities Regulation, Employment, Health & Safety, Tax and Product Liability. Our teams work together across the US, UK, France, Belgium, Germany, Abu Dhabi, Dubai, Singapore and Hong Kong SAR.

Investigations are challenging and the BCLP Global Investigations team understands the complexities and works closely with clients to design and implement tailored strategies and solutions to the significant issues that they face.

## MEET THE TEAM



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## **RELATED PRACTICE AREAS**

- Antitrust
- Business & Commercial Disputes
- Financial Regulation Compliance & Investigations
- Health & Safety

- Planning & Zoning
- Tax & Private Client
- Anti-Money Laundering Compliance
- Special Investigations

## AREAS OF FOCUS

- False Claims Act
- Sensitive Investigations
- White collar/Corporate Crime

## RELATED INSIGHTS

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**BCLP Partner Quoted in Bloomberg on FTC's Litigation Challenges**

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Jan 21, 2025

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Jan 15, 2025

## **BCLP Partner David B. Schwartz Comments in Law360 on Federal Circuit's Orange Book Ruling**

Insights

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## **State of Tennessee v. Cardona: Implications of the Vacatur of the 2024 Title IX Regulations**

News

Dec 16, 2024

## **BCLP and Midwest Innocence Project Secure Justice for Dominic Moore After 15 Years of Wrongful Incarceration**