

## REGULATION, COMPLIANCE & ADVISORY

### OVERVIEW

We take a proactive approach with our clients to help them identify and manage the broad range of legal and regulatory risks that they are exposed to, both domestically and internationally across the US, the UK, continental Europe, the UAE, Singapore and Hong Kong SAR.

Our client teams involve specialists across a wide range of disciplines, to help design and implement compliance programs, systems and controls to manage the risks that they face – spanning financial regulation, antitrust, corporate governance, tax, health & safety, anti bribery and corruption, anti money laundering, sanctions and export control, international trade, market abuse surveillance, public procurement, whistleblowing and employment, utilities regulation, food law, pharmaceuticals, telecommunications, data protection, intellectual property, gaming and licensing.

The standards and expectations of regulators and law enforcement agencies are constantly evolving and it is critical for corporates to keep up to date with important changes. In addition to providing regular legal updates and training seminars to our clients, we utilize and share with our clients the lessons learned from investigations and enforcement proceedings so that they get early visibility on new priorities and developments of relevant authorities in areas of governance, compliance and risk management.

We draw on lawyers across the US, Europe, the Middle East and Asia to track changes in rules and requirements to ensure that our clients remain compliant and fully aware of the standards expected of them.

Clients tell us that they value our approach because we focus on preventative advice and early issue-spotting, and designing solutions that avoid unnecessary disruption to the business.

## MEET THE TEAM



### **Lee Marshall**

Global Department Leader – Litigation  
& Investigations, San Francisco

[lee.marshall@bclplaw.com](mailto:lee.marshall@bclplaw.com)  
+1 415 675 3444

### **RELATED PRACTICE AREAS**

- Financial Services Corporate & Regulatory Team

### **AREAS OF FOCUS**

- Anti-Bribery & Corruption
- Antitrust
- Aviation, Aerospace & Defense
- Betting & Gaming
- Broker-Dealer and Investment Advisor Regulatory Enforcement, Disputes and Investigations
- Financial Regulation Compliance & Investigations
- Government Contracts & Public Procurement
- Health & Safety
- Licensing
- National Security

## EXPERIENCE

- Our team was instructed in connection with a review of a bank’s proposed systems and procedures to combat money laundering across 18 jurisdictions involving 20 different regulators.
- We assisted one of the largest, multinational retailers in the world to design and implement a new compliance and ethics function. In this role we created a comprehensive “compliance blueprint” for the client and drafted various related policies and procedures (anti-bribery; gifts & entertainment; internal investigations; whistleblowing; etc.). We also created and advised upon the implementation of specific risk registers and gift registers and provided training sessions to the client’s key personnel.
- We advised a leading technology company in relation to compliance with the new corporate criminal offences of failure to prevent the facilitation of tax evasion under the Criminal Finances Act 2017. BCLP were instructed to perform risk assessments and to assist the client in preparing presentations to the Board.

## RELATED INSIGHTS

Insights

Apr 04, 2025

**New UK consumer protection regime to go live 6 April 2025**

News

Feb 28, 2025

**BCLP’s David B. Schwartz Featured on Our Curious Amalgam Podcast to Discuss Healthcare Information Blocking**

News

Feb 24, 2025

**BCLP Partner and Former FTC Attorney Comments on FTC Chair Andrew Ferguson’s ABA Ban**

Awards

Feb 24, 2025

**BCLP Partners Recognized in the 2025 WTR 1000: World’s Leading Trademark Professionals**

News

Feb 12, 2025

**BCLP Partner Quoted in Bloomberg on FTC’s Litigation Challenges**

Insights

Nov 27, 2024

## **Cold Comfort: Court of Appeal takes a Chill Approach to Freezing Injunctions**

The Court of Appeal has clarified the requirements to be satisfied before obtaining a freezing injunction, affirming an attainable merits threshold is to be preferred over a more stringent alternative. Any perceived tilt in favour of prospective applicants, however, is tempered by a heightened emphasis on the requirement for any potential order to be “just and convenient”. In this insight, Rachel Ziegler and Sanjay Lohano examine the Court of Appeal decision in *Dos Santos v Unitel S.A.*, providing practical insights for prospective applicants looking to utilise one of the law’s so-called “nuclear weapons”.

Insights

Oct 07, 2024

## **Understanding consumer law when conducting influencer marketing campaigns in the EU and UK**

Insights

Oct 04, 2024

## **Without Prejudice Privilege**

The High Court has reaffirmed the strength of protection given to parties seeking to resolve a dispute through reliance on the ‘without prejudice’ rule during negotiations. In *FW Aviation (Holdings) 1 Limited v Vietjet Aviation Joint Stock Company*, the Court confirms the extraordinary nature of the ‘unambiguous impropriety’ exception and clarifies the ways in which ‘without prejudice privilege’ can be waived. Clare Reeve Curatola and Sanjay Lohano outline the nature and scope of the rule, providing practical tips for commercial parties looking to rely on this privilege whilst negotiating the settlement of a dispute.

Insights

Jun 24, 2024

## **The DMCCA: taking a closer look at the impact on subscription contracts**

The Digital Markets, Competition and Consumers Act 2024 (DMCCA) has recently received royal assent, following the announcement of the July General Election. We examine the key developments in our previous article. One very significant area of focus in the DMCCA (following the UK Government’s 2021 consumer protection consultation and the earlier Penrose report) is subscription contracts. Subscription contracts are big business, with estimated consumer spending in the UK on subscriptions said to be between £28 billion and £34 billion a year across multiple sectors, with 8 in 10 UK consumers having at least one subscription. The reforms in the DMCCA were prompted by concerns that consumers may be spending as much as £1.8 billion per year on subscriptions they do not think are good value for money and can find difficult to exit when they no longer require the relevant goods/services. The new...